



**Advanced Organic Chemistry/
Organic Synthesis – CH 621**

**Ultrasound Assisted Organic
Synthesis (Sonochemistry)**

Bela Torok

Department of Chemistry

University of Massachusetts Boston

Boston, MA

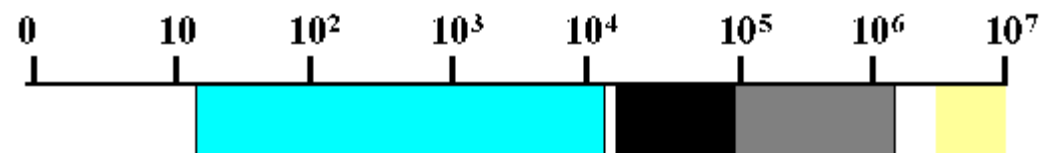
Ultrasonics/Sonochemistry - Historical Overview



Ultrasonics

- Ultrasounds (20-10 000 kHz)
- 1880 Piezoelectricity (Curie brothers)
- 1893 Galton
- 1912 TITANIC
- 1912 Behm (Echo technique)
- 1917 Langevin (Ultrasonic variation, Icebergs, Submarines)
- 1945 Application in chemistry

Ultrasonics/Sonochemistry – Basics



Human hearing



16Hz - 18kHz

Conventional power ultrasound



20kHz - 100kHz

Extended range for sonochemistry



20kHz - 2MHz

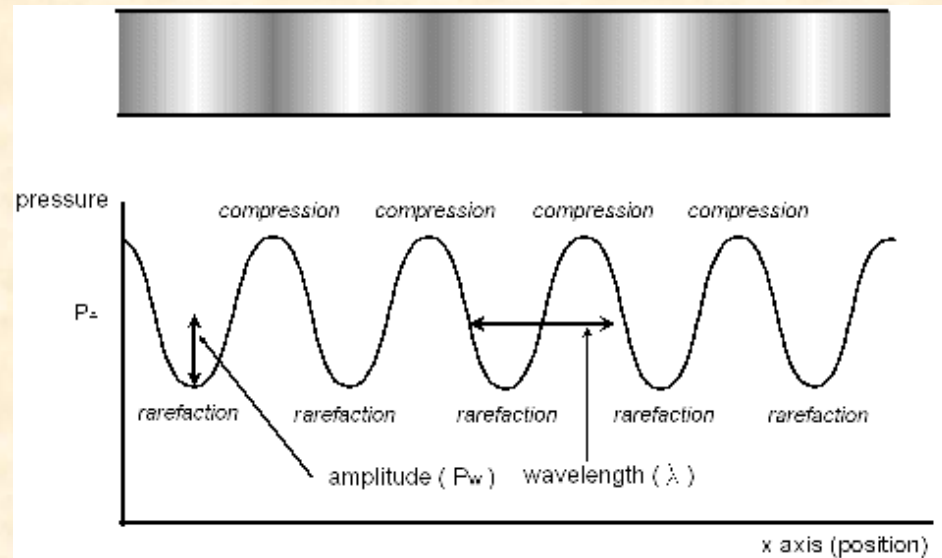
Diagnostic ultrasound



5MHz - 10MHz

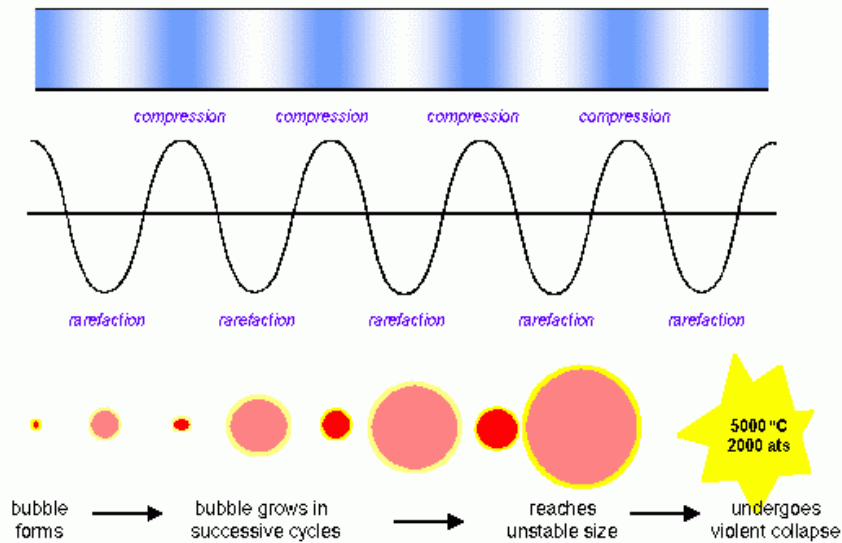
Frequency ranges of sound

Ultrasonics/Sonochemistry – Basics

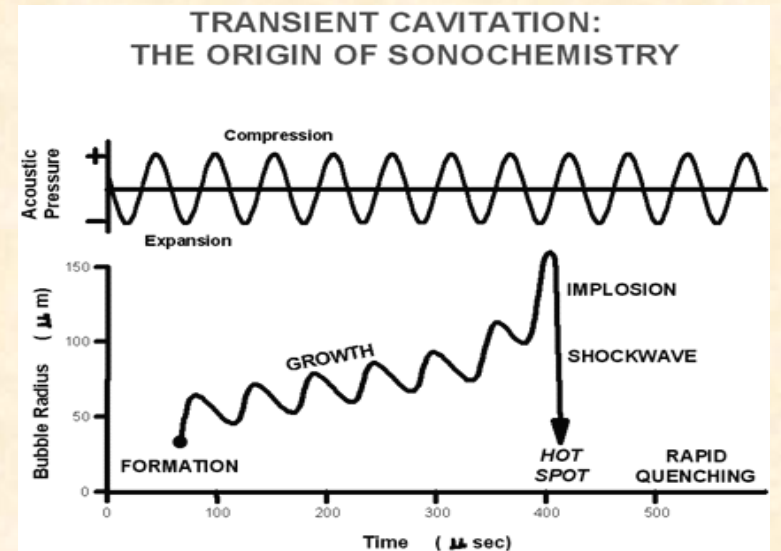


Sound transmission through a medium

Ultrasonics/Sonochemistry – Acoustic Cavitation



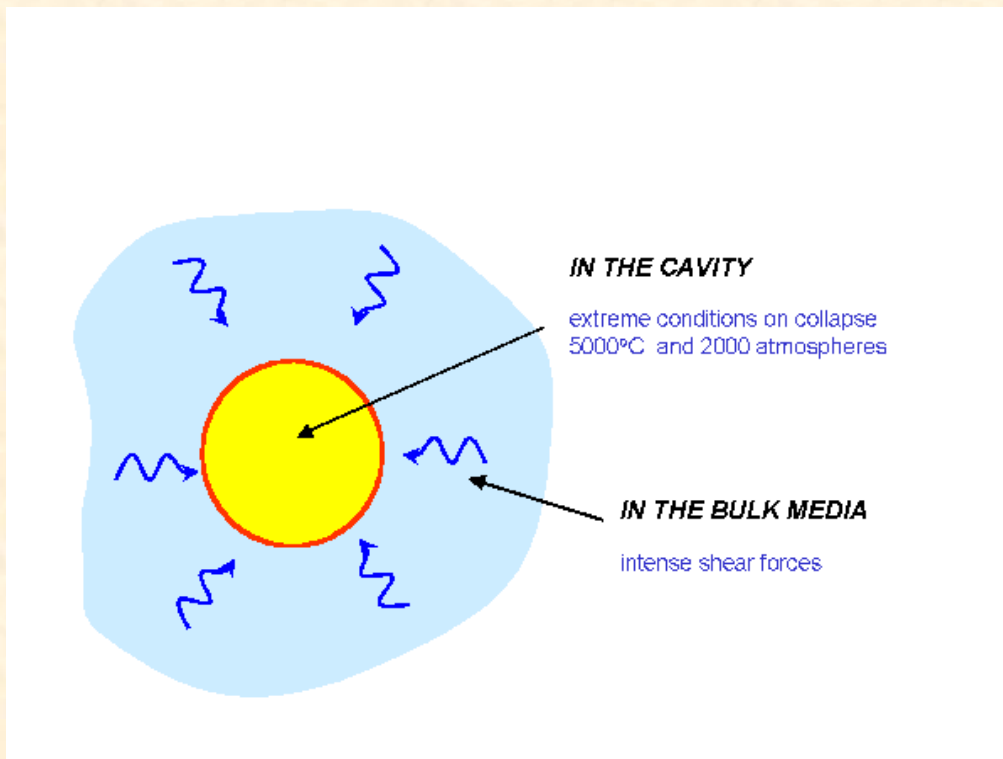
Formation of an acoustic bubble



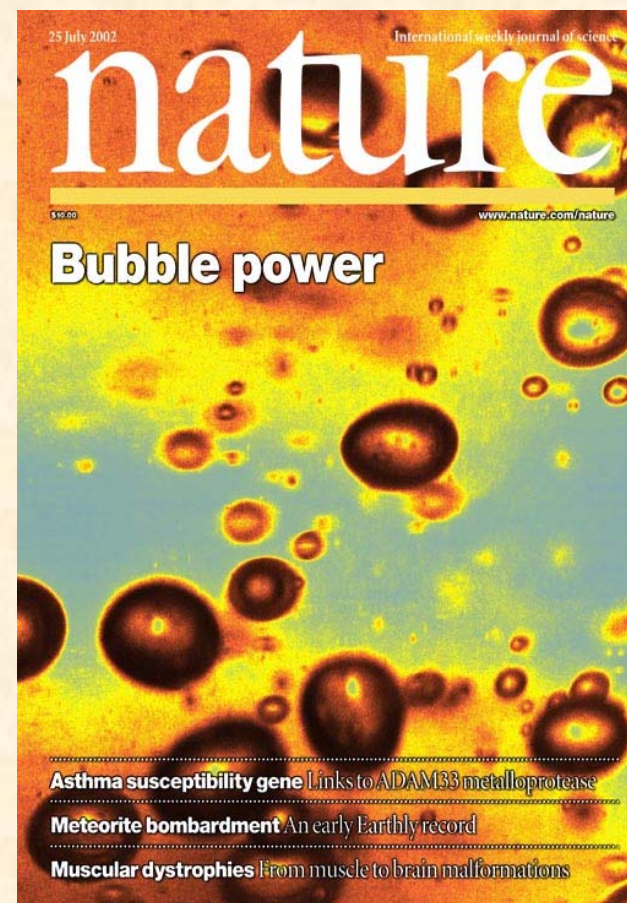
Bubble size and cavitation dynamics

Transient cavitation

Ultrasonics/Sonochemistry – Acoustic Cavitation



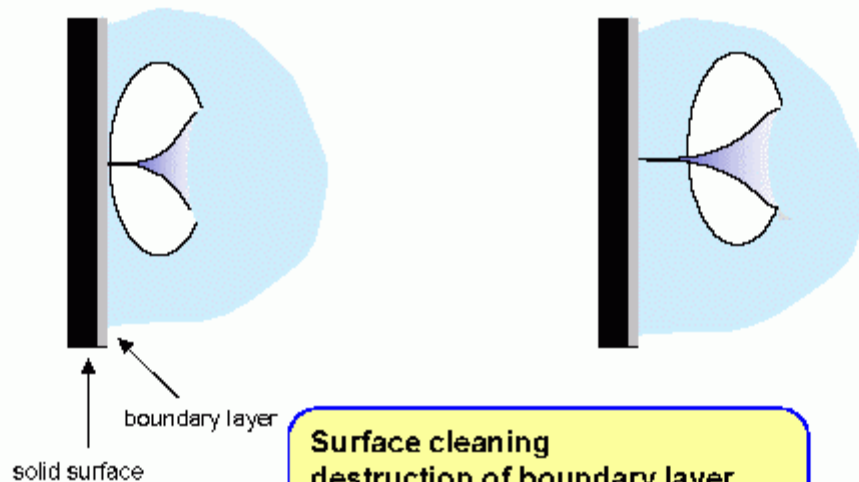
Acoustic cavitation in a homogeneous liquid



Suslick - ~ 4-5000 K

Ultrasonics/Sonochemistry – Acoustic Cavitation

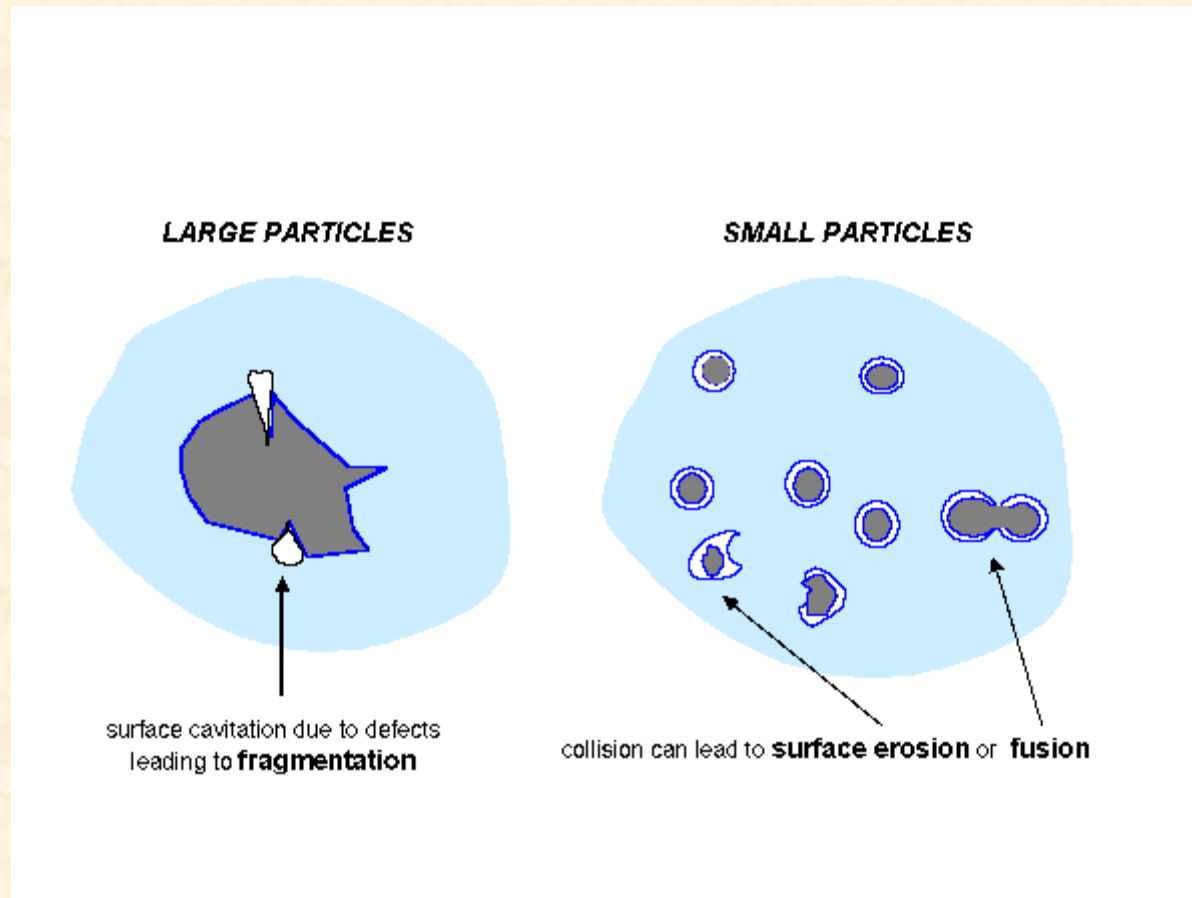
Inrush of liquid from one side of the collapsing bubble produces powerful jet of liquid targeted at surface



Surface cleaning
destruction of boundary layer
surface activation
improved mass and heat transfer

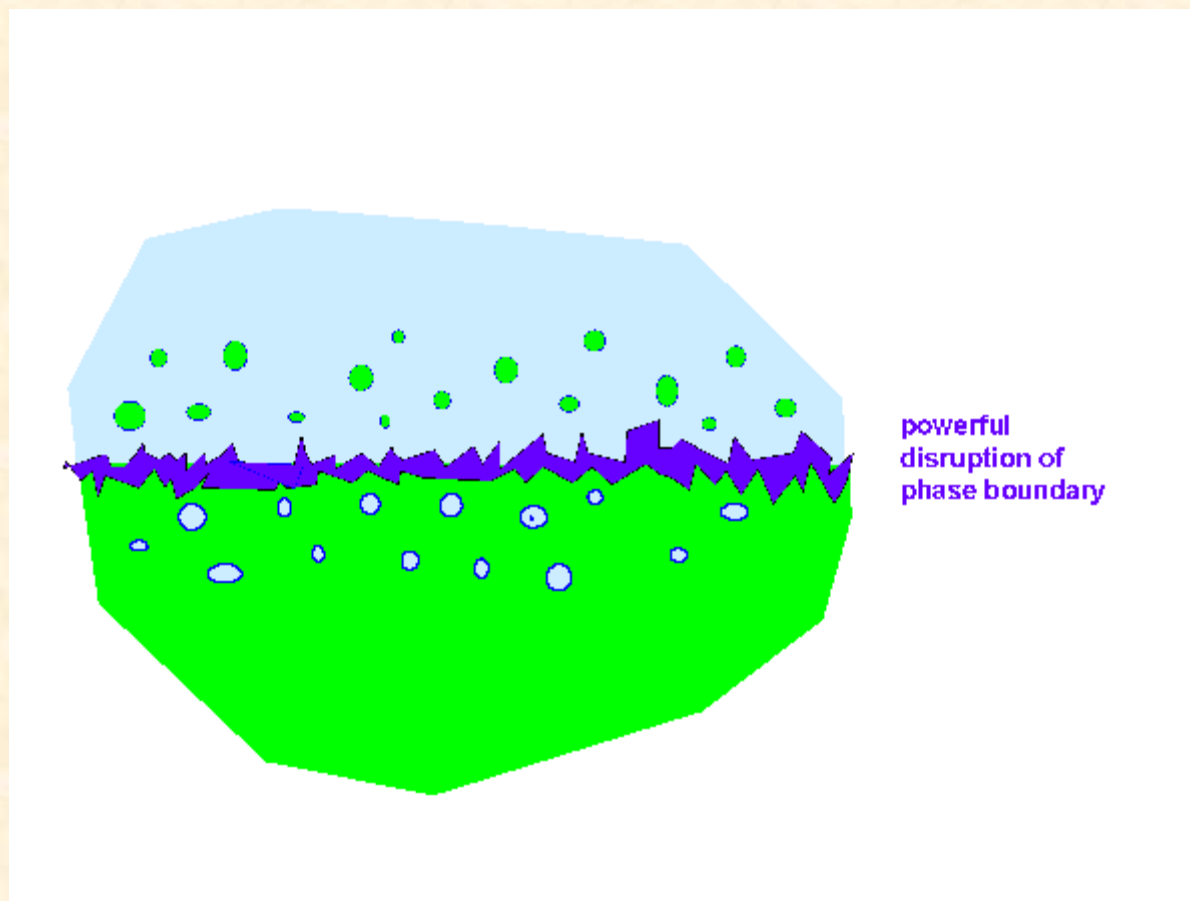
Acoustic cavitation in solid/liquid system

Ultrasonics/Sonochemistry – Acoustic Cavitation



Acoustic cavitation in solid/liquid system

Ultrasonics/Sonochemistry – Acoustic Cavitation



Acoustic cavitation in liquid/liquid system

Ultrasonics/Sonochemistry – Sonochemical Effect



Specific ultrasonic effect ?

Yes and No

No - another internal heating approach

Yes - The temperature dependence of E_A of different reactions could be significantly different – change in selectivities

- Very effective mixing
- Cavitation
- Surface cleaning

Ultrasonics/Sonochemistry – Experimental Parameters



1. Acoustic frequency

Increasing frequency – increasing energy

Theory - usually higher reaction rate

Real life – optimum frequency as we have to balance reactivity/selectivity

The effect is different for every reaction and general rule can not be made.

Ultrasonics/Sonochemistry – Sonochemical Effect



2. Acoustic power

Similar as frequency, however, the extent of increase is limited

Optimum

Ultrasonics/Sonochemistry – Sonochemical Effect



3. Temperature

Cavitation bubbles – energy of collapse

Pressure inside the bubble

In general lower temperature is better, but again there is an optimum as higher temperature increases the probability of the cavitation

Ultrasonics/Sonochemistry – Sonochemical Effect



4. External (static) pressure

Not quite clear, but in general higher pressure is better.

Role of particles (ultrafiltration)

Ultrasonics/Sonochemistry – Sonochemical Effect



5. Gas

Polytrop ratio ($\gamma = C_p/C_v$), thermal conductivity, and solubility

Usually inert gases are the best (noble gases)

Ultrasonics/Sonochemistry – Sonochemical Effect



6. Solvent

Should be as inert as possible, and stable toward ultrasounds

Sonolysis of the solvent

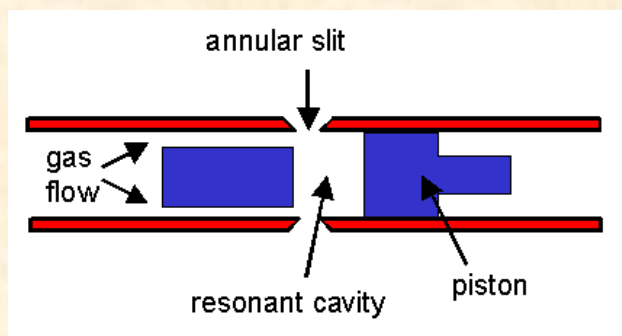
Usually high boiling point is preferred, but it is controversial as diethylether is a good solvent in many applications.

Ultrasonics/Sonochemistry – Experimental Parameters

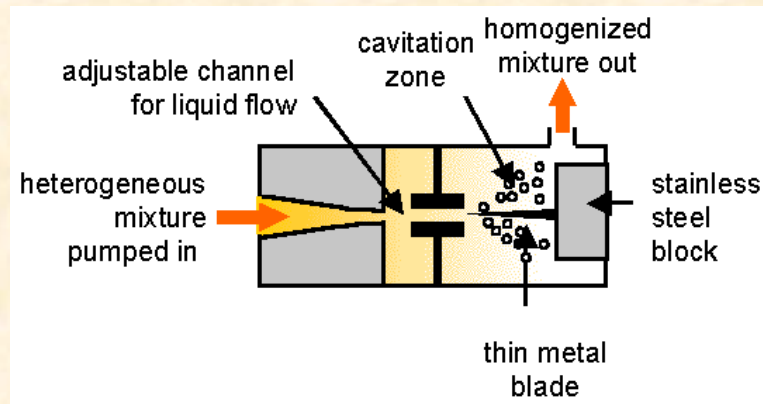


Experimental parameter	Physical parameter	Effect
Acoustic frequency	Period of bubble collapse	Change in the size of the bubbles
Acoustic power	Size of the reaction zone	The number of cavitation phenomena in a unit volume
Temperature	Vapor pressure of liquid Thermal activation	The content of bubbles, the intensity of collapse Secondary reactions
Static pressure	Total pressure Solubility of gas	Intensity of collapse The content of bubbles
Gas	Politrop ratio Thermal conductivity Chemical reactivity solubility	Intensity of collapse Primer and secondary reactions The content of bubbles
Solvent	Vapor pressure Surface tension Viscosity Chemical reactivity	Intensity of collapse Limit of transient cavitation Primer and secondary reactions

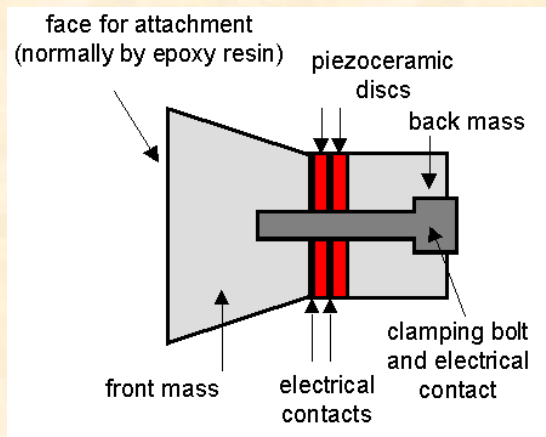
Ultrasonics/Sonochemistry – Transducers



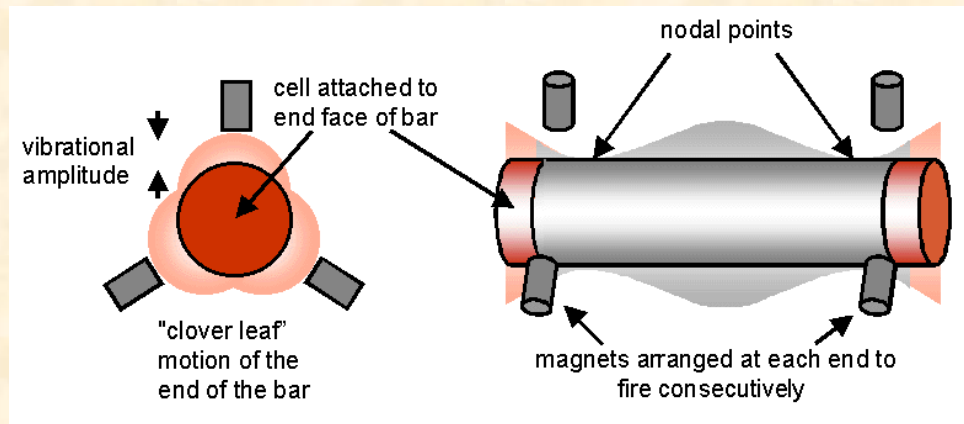
Galton whistle (physical)



Liquid whistle (physical)

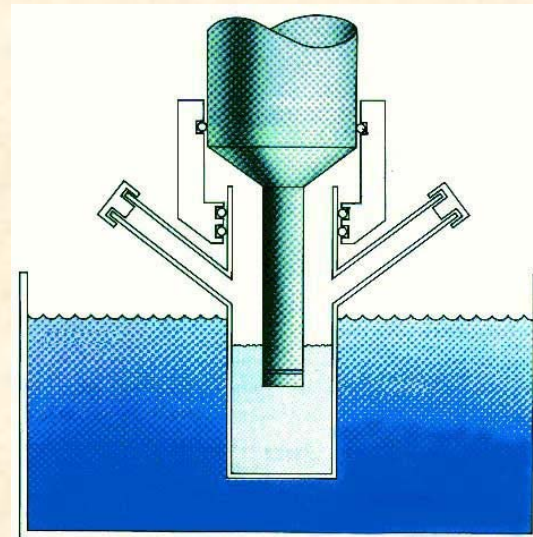


Piezoelectric sandwich transducer



Magnetostrictive transducer

Ultrasonics/Sonochemistry – Reactors



Ultrasonics/Sonochemistry – Applications



- Electronics industry (coating with metals)
- Therapy (surgery with ultrasounds), diagnostics
- Food industry
- Materials (metallurgy)
- Synthesis
- Environmental applications

Ultrasonics/Sonochemistry – Synthesis



Applications in Organic Synthesis

1. Homogeneous Sonochemistry

- Aqueous medium
- Non-aqueous media

2. Heterogeneous Sonochemistry

- Phase Transfer Catalysis
- Reactions with metals
- Heterogeneous Catalysis

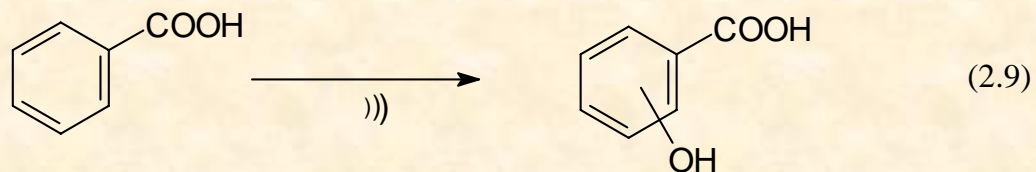
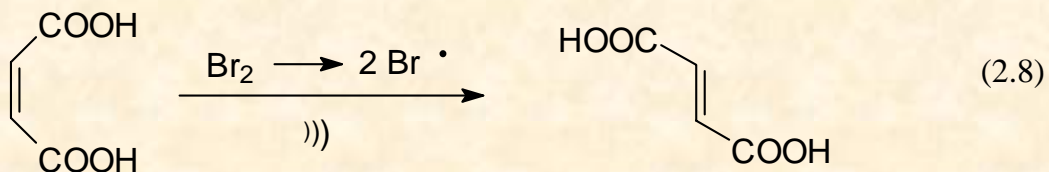
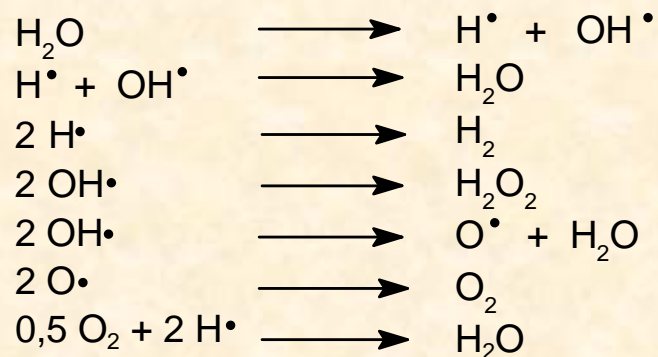
3. Enzyme reactions

Ultrasonics/Sonochemistry – Synthesis



1. Homogeneous sonochemistry

1.1. Aqueous sonochemistry



Ultrasonics/Sonochemistry – Synthesis

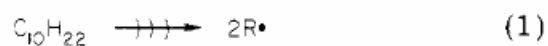


1. Homogeneous sonochemistry

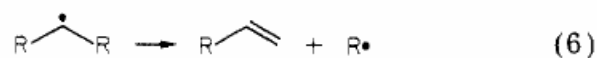
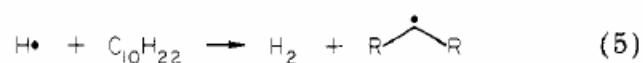
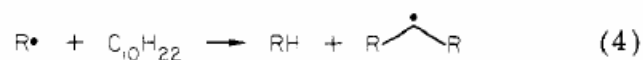
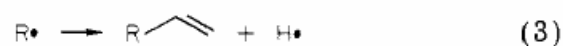
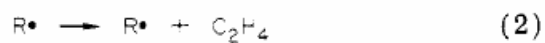
1.2. Non-Aqueous sonochemistry

Scheme I: Rice Radical Chain Mechanism^a

initiation:



propagation:



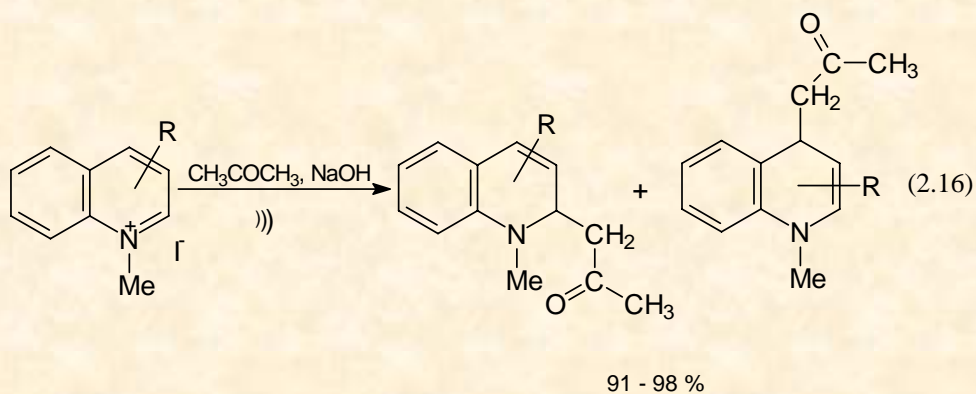
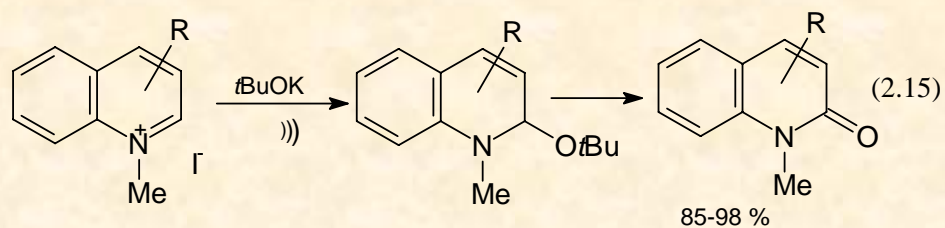
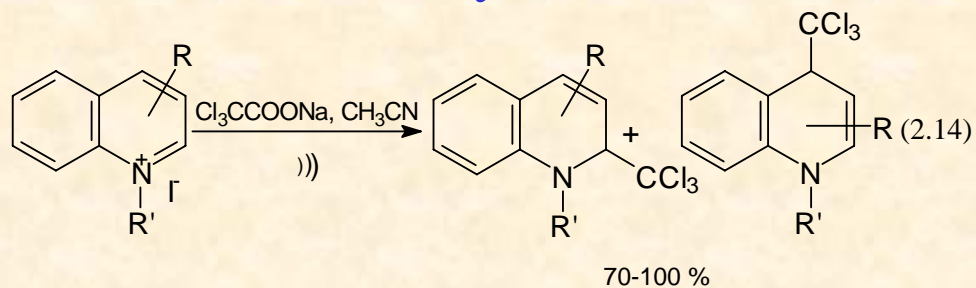
termination:



^a $\text{R}\cdot$ = terminal radical, $\text{R}-\dot{\text{C}}\text{H}-\text{R}$ = internal radical.

Ultrasonics/Sonochemistry – Synthesis

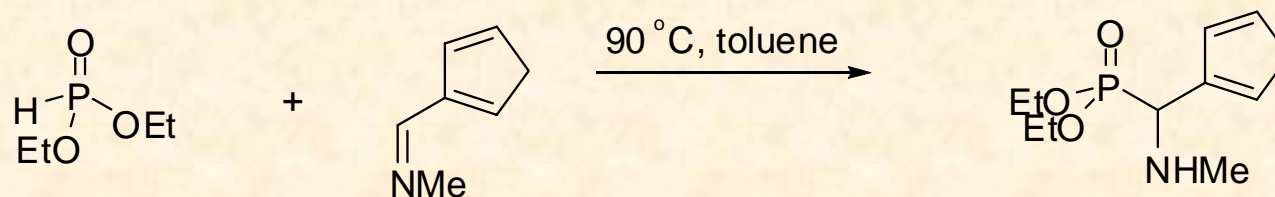
1.2. Non-Aqueous sonochemistry



Ultrasonics/Sonochemistry – Synthesis



1.2. Non-Aqueous sonochemistry

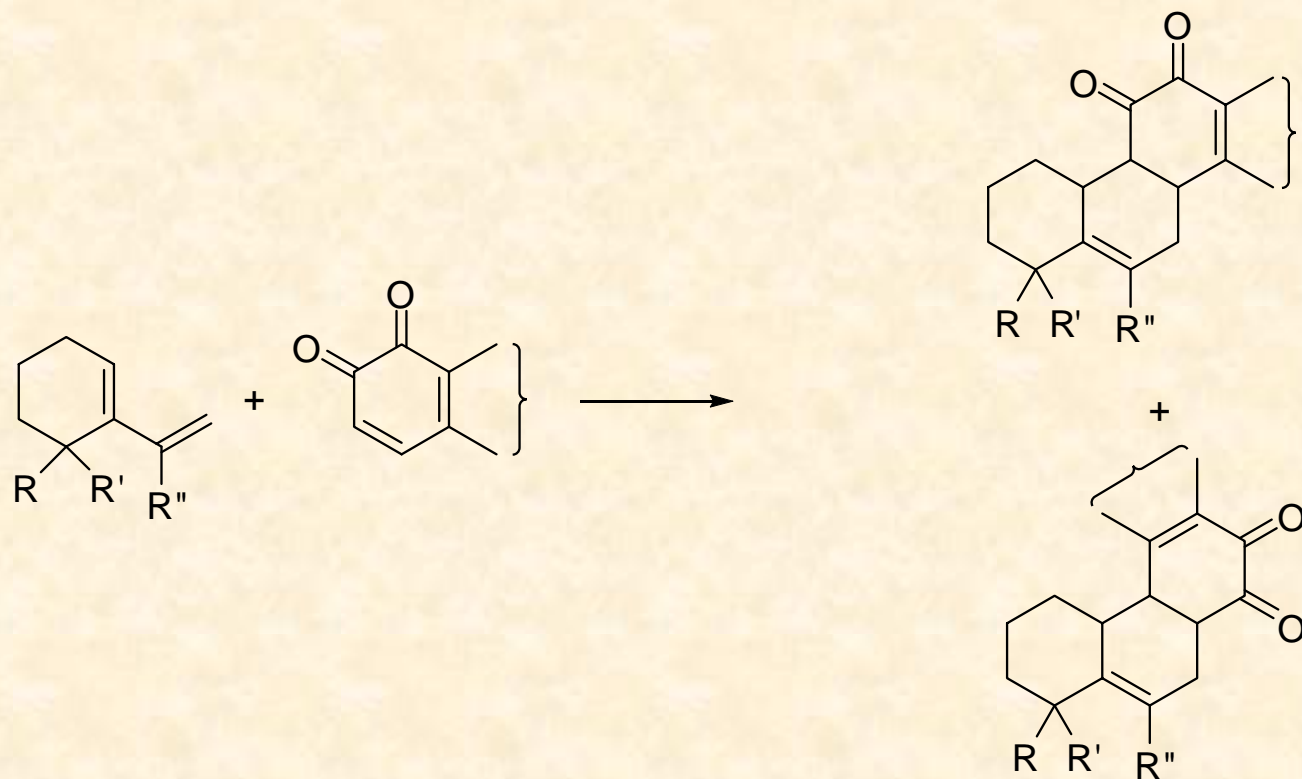


	Yield (%)			
	15 min	30 min	60 min	120 min
stirring	0	0	<5	37
sonication	12	32	67	82

Ultrasonics/Sonochemistry – Synthesis



1.2. Non-Aqueous sonochemistry

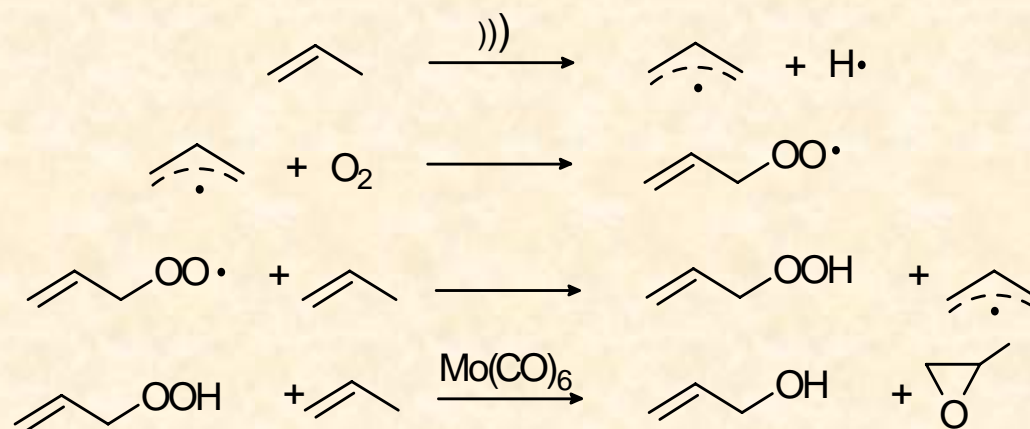


	Yield (%)
stirring	10-53
sonication	57-93

Ultrasonics/Sonochemistry – Synthesis



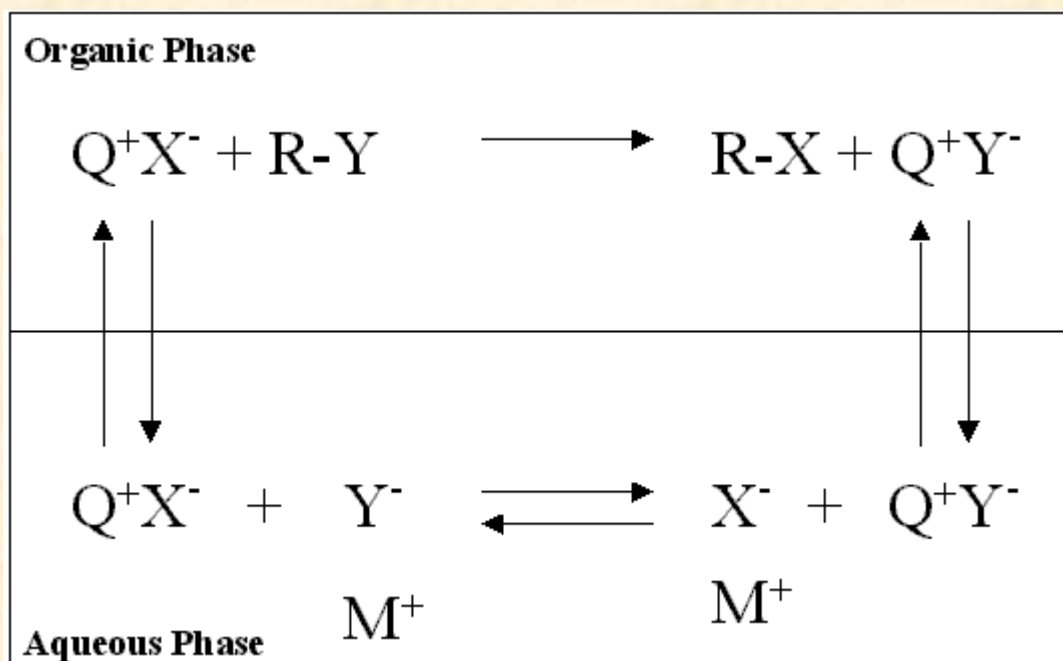
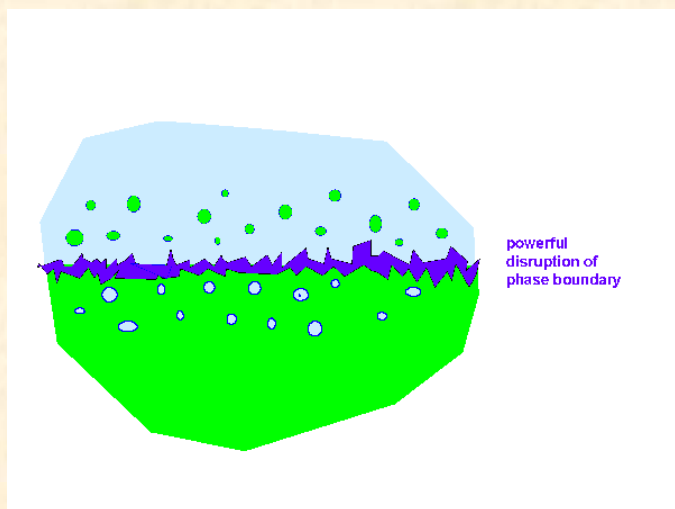
1.2. Non-Aqueous sonochemistry



Ultrasonics/Sonochemistry – Synthesis

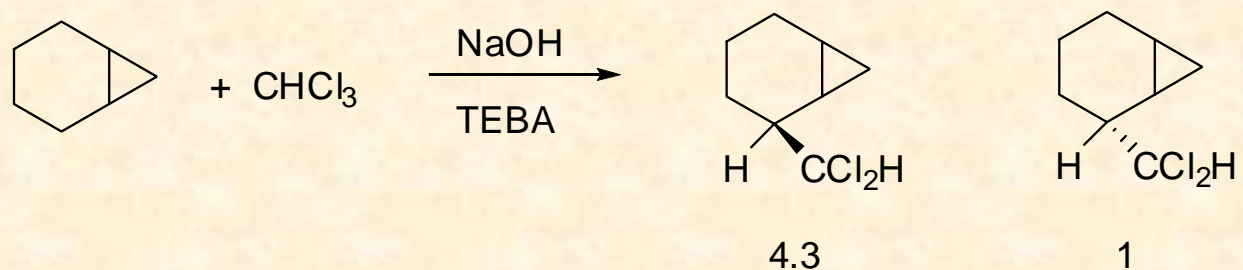
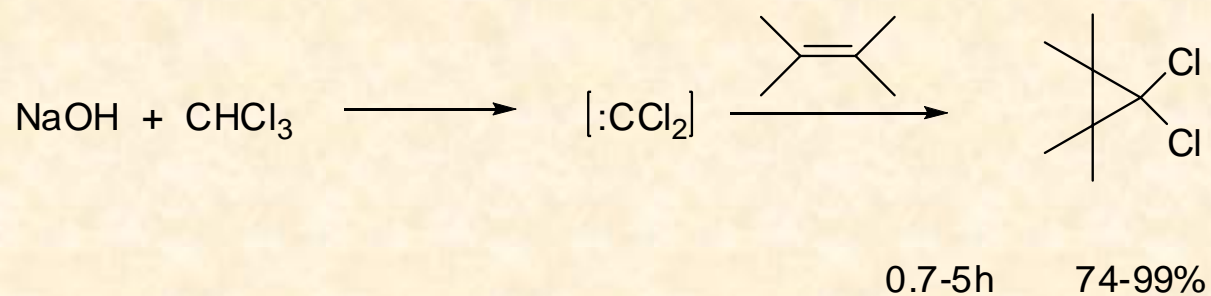
2. Heterogeneous sonochemistry

2.1. Phase transfer catalysis



Ultrasonics/Sonochemistry – Synthesis

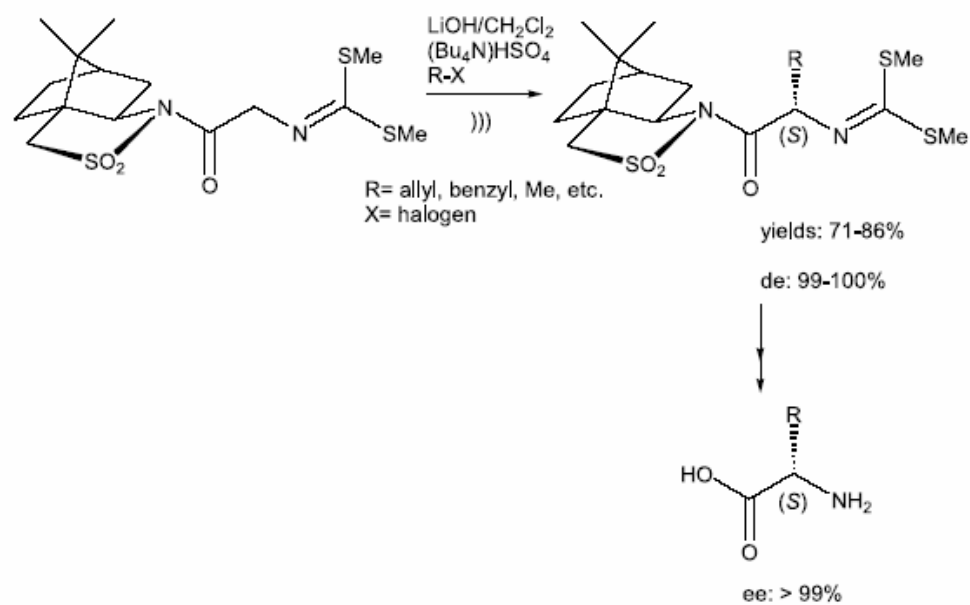
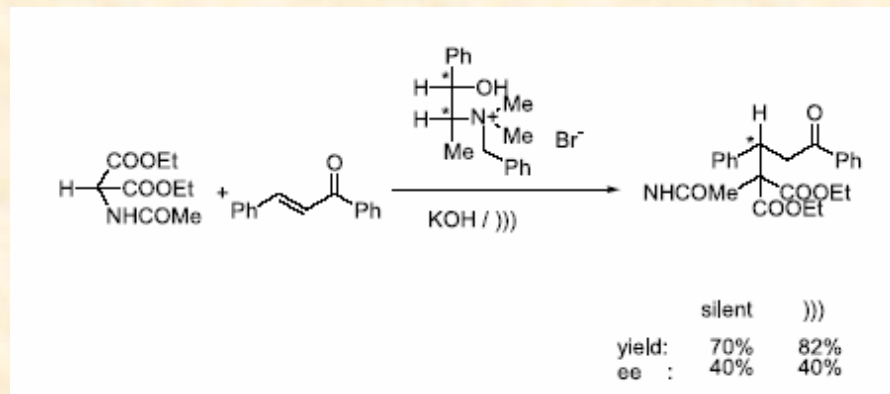
2.1. Phase transfer catalysis



stirring 9 h 15%
sonication, 3h 83%

Ultrasonics/Sonochemistry – Synthesis

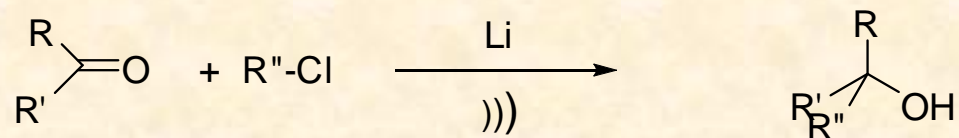
2.1. Phase transfer catalysis



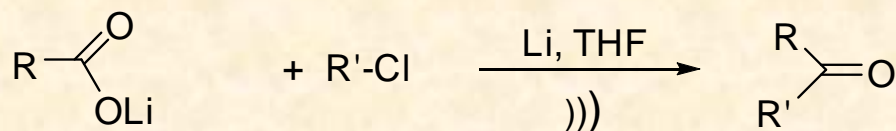
Ultrasonics/Sonochemistry – Synthesis



2.2. Reactions with metals



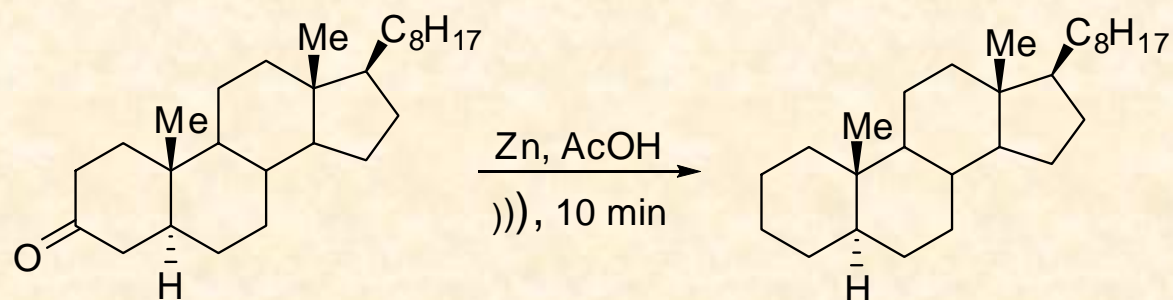
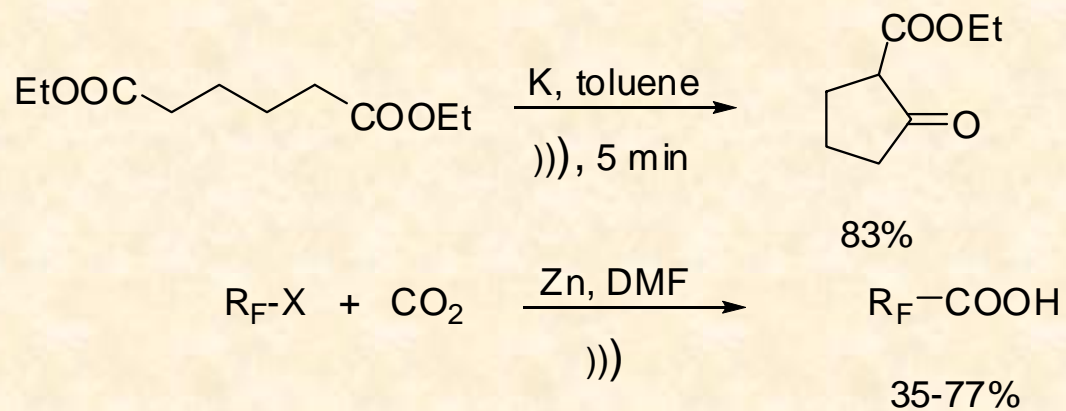
10-40 min 68-99%



72-99%

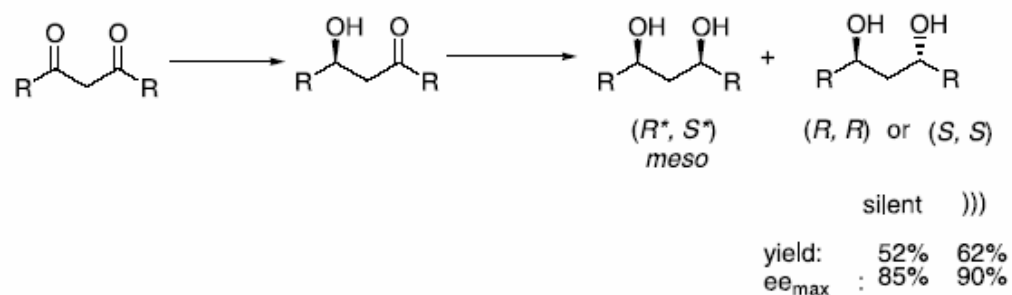
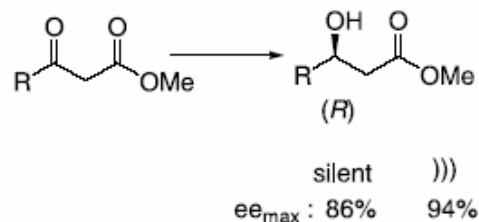
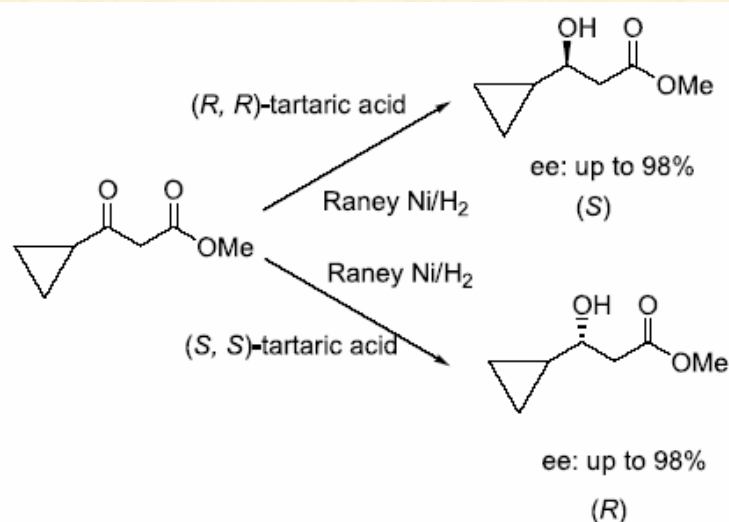
Ultrasonics/Sonochemistry – Synthesis

2.2. Reactions with metals



Ultrasonics/Sonochemistry – Synthesis

2.3. Heterogeneous catalysis



Catalytic system: Raney Ni (presonicated)
 (R, R) -tartaric acid-NaBr

Ultrasonics/Sonochemistry – Synthesis

2.3. Heterogeneous catalysis

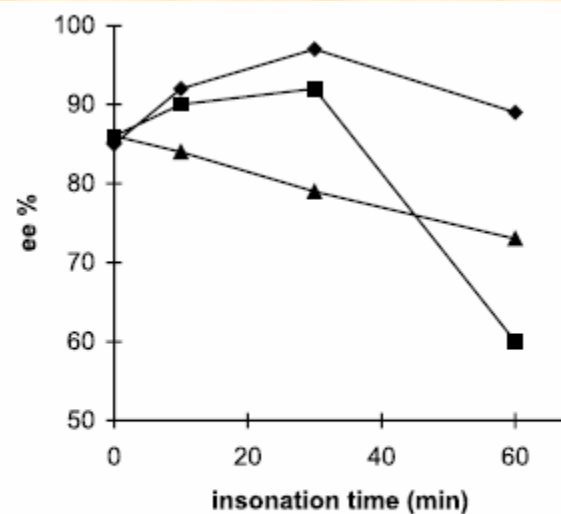
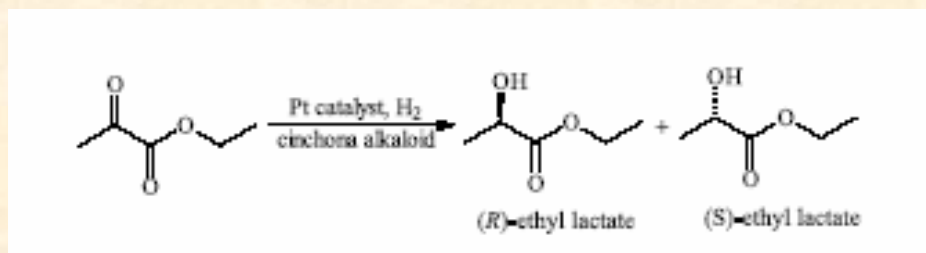




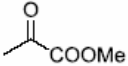
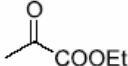
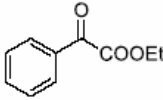
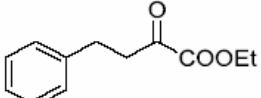
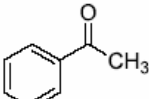
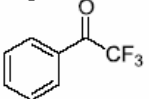
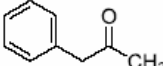
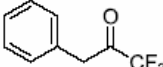
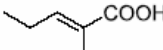
Fig. 1. Effect of ultrasound frequency on the optical yield sono-chemical hydrogenation of ethyl pyruvate over cinchonidine modified Pt/Al₂O₃ catalyst (♦ – 20 kHz, ■ – 35 kHz, ▲ – 47 kHz). The ee values were determined at 100% conversion.

Ultrasonics/Sonochemistry – Synthesis



2.3. Heterogeneous catalysis

Table 4
Sonochemical and silent enantioselective hydrogenation of activated ketones, ketoesters and unsaturated carboxylic acids over 5% Pt/Al₂O₃ (C=O double bond) and 5% Pd/Al₂O₃ (C=C double bond) using different cinchona modifiers under hydrogen pressure

Substrate	Modifier	Catalyst	Hydrogen pressure (bar)	Solvent	Major product	Optical yield (ee%)	
							
	CD	E4759	10	AcOH	<i>R</i>	94	95
	CD	E40655	10	AcOH	<i>R</i>	85	97
	MeOHCD	E40655	10	AcOH	<i>R</i>	78	98
	CD	E4759	10	AcOH	<i>R</i>	88	92
	CD	E4759	10	AcOH	<i>R</i>	79	96
	CN	E4759	10	AcOH	<i>S</i>	85	92
	CD	E4759	10	DCB	<i>R</i>	6	8
	CD	E4759	10	DCB	<i>R</i>	46	49
	CD	E4759	10	DCB	<i>R</i>	7	8
	CD	E4759	10	DCB	<i>R</i>	18	18
	CD	E40692	50	Toluene	<i>S</i>	50	62

DCB – 1,2-dichlorobenzene.

Microwaves/Sonochemistry – References



- <http://www.shiga-med.ac.jp/chemistry/sonochemRes.html>
- Luche, J. L., Synthetic Organic Sonochemistry, Plenum Press, 2001
- Suslick, K. S., Ultrasound: Its Chemical, Physical, and Biological Effects; VCH, 1988.
- Mason, T. J., Sonochemistry: Current Uses and Future Prospect in Chemical and Industrial Processing, RSC, 1999