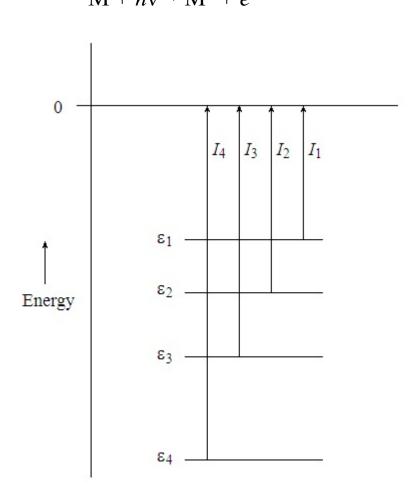
### Ionizations from a Set of Orbitals $M + h\nu \rightarrow M^+ + e^-$



Koopman's theorem: Each ionization energy,  $I_j$ , is equal in magnitude to an orbital energy,

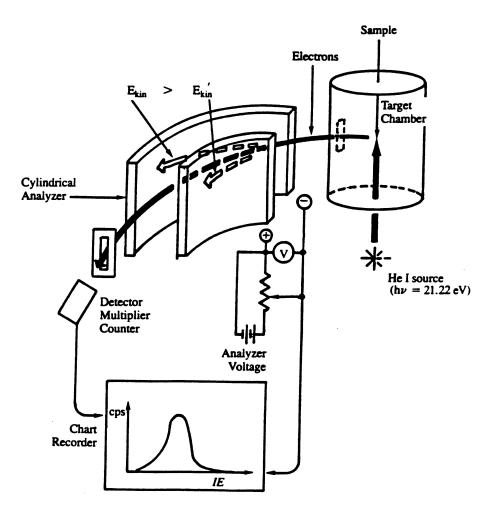
$$I_j = -\varepsilon_j.$$

#### **Photoelectric Effect**

Einstein photoelectric equation:

$$E_{\rm photon} = hv = I + KE$$

 $E_{\text{photon}} = \text{energy of incident radiation}$  h = Planck's constant v = frequency of incident radiation I = ionization energyKE = kinetic energy of ejected electron



# **Ultraviolet Photoelectron Spectrometer**

## **Ultraviolet Photoelectron Spectroscopy (P.E.S.)**

P. E. S. ionization from atoms:

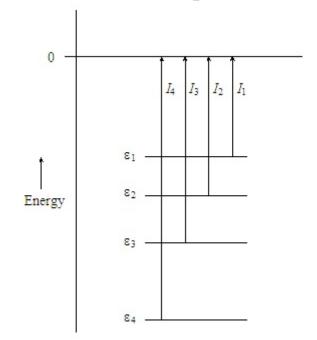
$$KE_i = hv - I_i$$

- Incident radiation: He(I) 58.4 nm = 21.22 ev.
- Only valence shell electrons removed.
- Instrument separates electrons according to kinetic energy and records numbers of electrons at each value, displayed as peaks of proportional height.
- From detected kinetic energies, ionization energies are given by

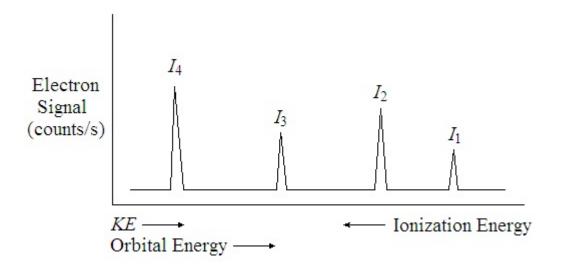
$$I_j = h\nu - KE_j$$

- Spectrum is usually displayed as electron signal (photoelectron current) vs. ionization energy.
- Intensities of peaks are proportional to the relative probabilities of photoionizations to different states of the positive ion (*relative partial ionization cross-section*).

Model Atomic P. E. S. Spectrum



Schematic P.E.S. Spectrum



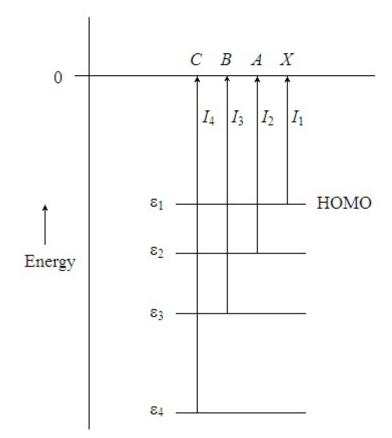
### P. E. S. of Molecules

$$KE = h\nu - I - E_{\rm vib, rot}$$

- Spectrum consists of *bands*, corresponding to ionizations from individual orbitals.
- Bands may have a single *peak* or consist of a series peaks (*fine structure*), arising from excitations to the various vibrational-rotational states of the ion, M<sup>+</sup>. (Usually, only vibrational fine structure is resolved.)
- Spin-orbital coupling (producing two distinct *J* values and corresponding energies) or Jahn-Teller effects (causing splitting of degenerate states) can cause additional band splitting.

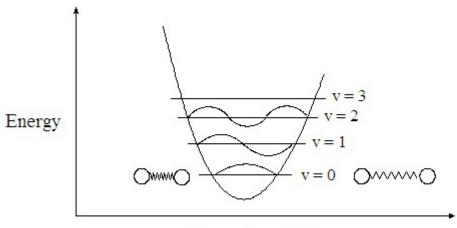
## Electronic States of the Ion, M<sup>+</sup>

- The electronic state of the ion  $M^+$  depends upon the orbital of the neutral molecule from which the electron is ejected.
  - The lowest energy state (ground-state) of M<sup>+</sup> (designated *X*) is produced by ejecting an electron from the highest-occupied M.O. of the neutral molecule (HOMO).
    - » The ionization energy to produce X is the lowest of the series and corresponds to  $I_{1}$ .
  - Higher-energy states of M<sup>+</sup> (designated A, B, C, ...) are produced by ejecting an electron from lower-energy M.O.'s.
    - » Ionizations producing  $A, B, C, \dots$  are  $I_2, I_3, I_4, \dots$



# Vibrational States of M and M<sup>+</sup>

- Both M and M<sup>+</sup> may be in any allowed vibrational state, corresponding to the vibrational quantum numbers v = 0, 1, 2, ... and v' = 0, 1, 2, ..., respectively
  - » The frequency, v or v', does not change with v or v'.
  - » Vibrational amplitude increases with v.

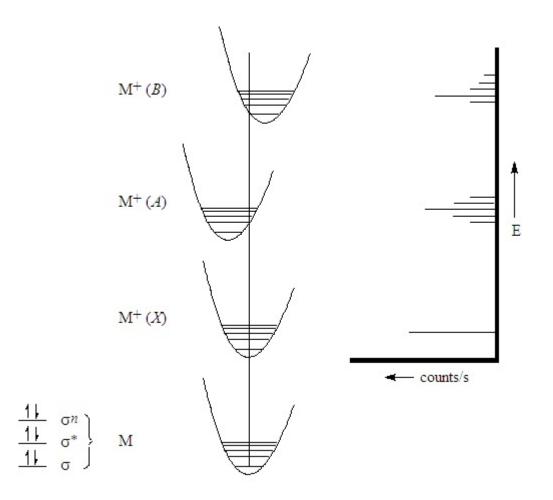


Internuclear Distance

- At room temperature, most M molecules are in their vibrational ground state, v = 0.
- Ionization to M<sup>+</sup> can result in an ion in any of its possible electronic states, each of which may be in any of their allowed vibrational states, v'.

## **Ionizations as State-to-State Transitions**

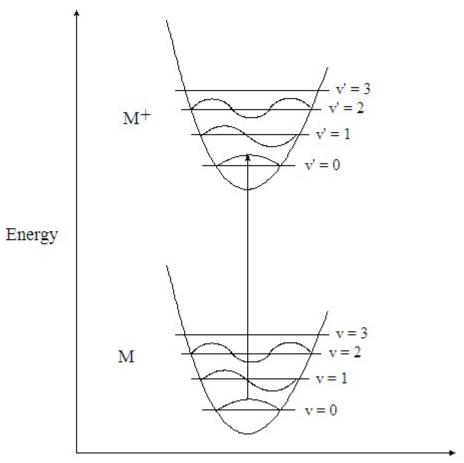
- Possible ionizations may be viewed as state-to-state transitions.
  - Adiabatic Transition Transition from M in v = 0 to  $M^+$  in v' = 0.
  - Vertical Transition Transition without change in bond length (*Franck-Condon Principle*).



# **Peak Intensities and Band Patterns**

- The most intense peak (most probable transition) for a given ionization corresponds to the vertical transition to the vibrational level for which there is maximum overlap between the vibrational wave functions for the ground- and excited-state energy levels.
  - The v = 0 state of M has maximum wave-function amplitude at the center of the bond, so a vertical transition always occurs from that position.
  - If bond strength is unaffected by ionization, the strongest peak will correspond to M (v = 0) → M<sup>+</sup> (v' = 0); i.e., the adiabatic transition.
  - If bond strength is changed by ionization, the strongest peak (vertical transition) will correspond to M (v = 0) → M<sup>+</sup> (v' > 0).
  - Transitions to other v' states (non-vertical transitions) will have lesser intensities, varying according to their probabilities (*Franck-Condon distribution*).

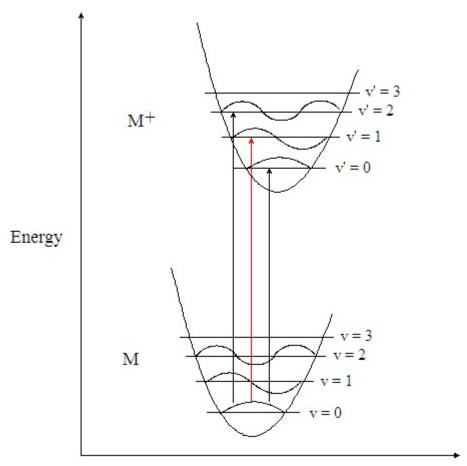
### Molecular Ionization from a Non-Bonding MO



Internuclear Distance

- Ionization from a non-bonding M.O. does not change bond length, so the vertical transition is the adiabatic transition, M (v = 0) → M<sup>+</sup> (v' = 0).
- Transitions to other v' states (other Franck-Condon transitions) have much less probability, due to the poor overlap between  $\Psi(v = 0)$  and  $\Psi'(v' > 0)$ .
- P.E.S. bands for ionizations from non-bonding M.O.s tend to have little or no vibrational fine structure.

## Molecular Ionization from a Bonding MO



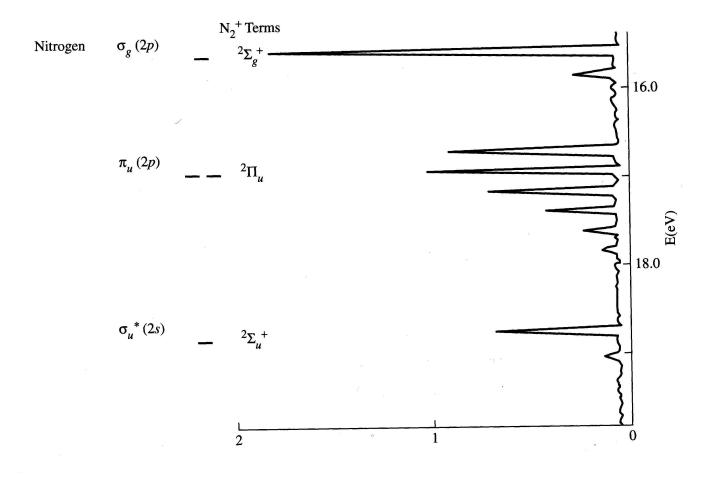
Internuclear Distance

- Ionization from a bonding or anti-bonding M.O. causes a change in bond length in the ion M<sup>+</sup>, compared to the bond length in M.
- The vertical transition is to an M<sup>+</sup> vibrational state v' > 0 with maximum wave-function overlap at the same inter-nuclear separation as the normal bond length of the neutral molecule, M. (*Not* the adiabatic transition).
- Transitions to other v' states are possible with lesser probability, resulting in vibrational fine structure on the ionization band.

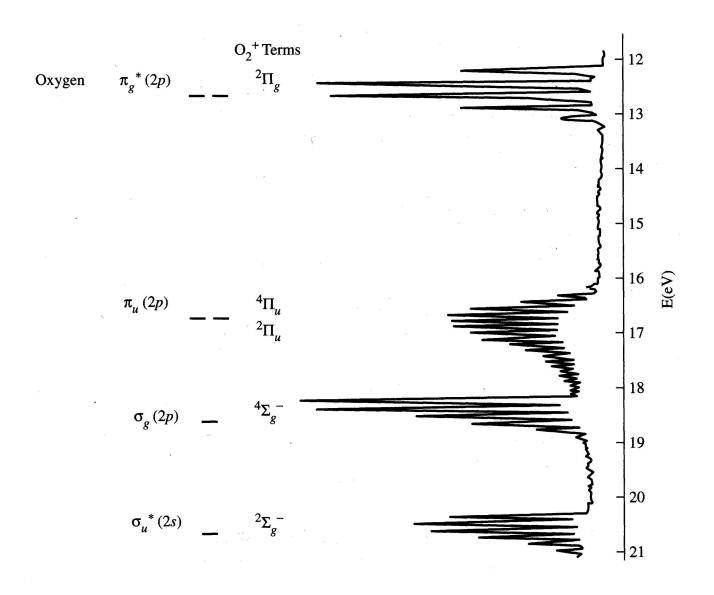
## **Molecular Ionization from a Bonding MO - Cont.**

- The frequency separations between the vibrational peaks can be used to calculate the vibrational frequency of the ion, M<sup>+</sup>.
- P.E.S. bands for ionizations from bonding or antibonding M.O.s tend to have pronounced vibrational fine structure.

PES of N<sub>2</sub>



PES of O<sub>2</sub>



# PES of CO

